

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nowak, et al.

Serial No.: 09/936,469

Examiner: Not Yet Known

Filed: September 12, 2001

Group Art Unit: Not Yet Known

International Application No.: PCT/DE00/00722

International File Date: March 11, 2000

For: FIBER TRANSMISSION ELEMENT FOR GENERATING  
A CHROMATIC DISPERSION

CERTIFICATE UNDER 37 CFR 1.8(a)  
I HEREBY CERTIFY THAT THIS  
CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE  
AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20231.

BY Stephan Gribok  
REG. NO. 29643  
DATE 1-29-02

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sirs:

Please find enclosed for filing:

- ☒ Response to Notification of Missing Requirements
- ☒ Executed Declaration
- ☒ Request for Extension of Time
- ☒ Check in the amount of \$510.00 (\$130 for late filed Declaration; \$270 for multiple dependent claims surcharge; \$110 for one month extension)
- ☒ Should any additional fees be incurred please debit Deposit Account No. 04-1679. This Transmittal Letter is submitted in duplicate.
- ☒ Other: Copy of Notice to File Missing Parts; Return Postcard

Respectfully submitted,

Dated: Jan. 29, 2002

Stephan P. Gribok  
Stephan P. Gribok  
Registration No. 29,643  
DUANE MORRIS LLP  
One Liberty Place  
Philadelphia, PA 19103  
215-979-1283

Docket No: 3212-24 (D4772-00021)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Walter NOWAK, Jens PEUPELMANN, Michael SAUER,  
Ingolf BAUMANN, Johann MEISSNER, Dieter PALME and  
Adalbert BANDEMER

Serial No.: 09/936,469

Examiner: Not Yet Known

Filed: September 12, 2001

Group Art Unit: Not Yet Known

International Application No.: PCT/DE00/00722

International File Date: March 11, 2000

For: FIBER TRANSMISSION ELEMENT FOR GENERATING  
A CHROMATIC DISPERSION

CERTIFICATE UNDER 37 CFR 1.8(a)  
I HEREBY CERTIFY THAT THIS  
CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE  
AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20231.

BY Stephan Gribok  
REG. NO. 29643  
DATE 1-29-02

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Responsive to the Notice of Missing Requirements mailed on October 30, 2001,  
Applicant submits herewith the signed Declaration. The surcharges associated with the  
late filing of the signed Declaration and multiple dependent claims is \$400.00, which  
amount is submitted herewith.

Respectfully submitted,

Dated: Jan. 29, 2002

Stephan Gribok  
Stephan P. Gribok  
Registration No. 29,643  
DUANE MORRIS LLP  
One Liberty Place  
Philadelphia, PA 19103  
215-979-1283

Docket No: 3212-24 (D4772-00021)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Walter NOWAK, Jens PEUPELMANN, Michael SAUER,  
Ingolf BAUMANN, Johann MEISSNER, Dieter PALME and  
Adalbert BANDEMER

Serial No.: 09/936,469

Examiner: Not Yet Known

Filed: September 12, 2001

Group Art Unit: Not Yet Known

International Application No.: PCT/DE00/00722

International File Date: March 11, 2000

For: FIBER TRANSMISSION ELEMENT FOR GENERATING  
A CHROMATIC DISPERSION

CERTIFICATE UNDER 37 CFR 1.8(a)  
I HEREBY CERTIFY THAT THIS  
CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE  
AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20231.

BY Stephan Gribok  
REG. NO. 29643  
DATE 1-29-02

**REQUEST FOR EXTENSION OF TIME**

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 35 U.S.C. 371, Applicant's attorney on behalf of Applicant hereby respectfully requests that a retroactive extension of one month, namely until January 30, 2002, be granted for responding to the Notification of Missing Requirements mailed October 30, 2001.

Applicant submits herewith the large entity fee required in connection with the requested extension, namely \$110.00.

Respectfully submitted,

Date: Jan. 29, 2002

Stephan Gribok  
Stephan P. Gribok  
Registration No. 29,643  
DUANE MORRIS LLP  
One Liberty Place  
Philadelphia, PA 19103-7396  
(215) 979-1283

Docket No.: 3212-24 (D4772-00021)

<small>Continuation For Filing, See PCT, United States Patent and Trademark Office, Washington, D.C. 20210, www.uspto.gov</small>		
NOV 06 2001		

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,469	Walter Kowak	3212-24

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/DE00/00722

I.A. FILING DATE	PRIORITY DATE
03/11/2000	03/12/1999

Stephan P Gribok  
Duane Morris & Heckscher  
One Liberty Place  
Philadelphia, PA 19103

CONFIRMATION NO. 4221

371 FORMALITIES LETTER



\*OC000000006970356\*

Date Mailed: 10/30/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$270** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$400** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$270**
  - **\$270** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

Telephone: (703) 305-3737

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,469	PCT/DE00/00722	3212-24

